

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-2000)		ATTORNEY'S DOCKET NUMBER <b>HHI-026US</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C.371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/763484</b>
INTERNATIONAL APPLICATION <b>PCT/DE99/02613</b>	INTERNATIONAL FILING DATE <b>17 August 1999 (17.08.99)</b>	PRIORITY DATE CLAIMED <b>21 August 1998 (21.08.98) and 03 November 1998 (03.11.98)</b>
TITLE OF INVENTION <b>HOLDING DEVICE FOR FLEXOGRAPHIC PRINTING SLEEVES</b>		
APPLICANT(S) FOR DO/EO/US <b>Helmut BUSSHOFF</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C.371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C 371(c)(2)) <b>(11 sheets);</b></li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) <b>(unexecuted) (3 sheets);</b></li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11. to 16. below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 <b>(3 sheets) with Form PTO-1449 (1 sheet);</b></li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment <b>(4 sheets);</b> <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: <b>Transmittal Letter (2 sheets in duplicate); PCT Written Opinion (Form (PCT/IPEA/408) (6 sheets); PCT International Published Application (WO 00/10809) (with International Search Report attached) (22 sheets) in German; Check (\$860) based on large entity; Certificate of Express Mailing (1 sheet); and Return Postcard.</b></li> </ol>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>09/7763484</b>		INTERNATIONAL APPLICATION NO. <b>PCT/DE99/02613</b>		ATTORNEY'S DOCKET NO. <b>HHI-026US</b>	
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) .(a/o November 1, 2000):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$860  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO .....\$710  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS</b> PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$--	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	4    -20 =	0	X \$18.00	\$0	
Independent claims	1    -3 =	0	X \$80.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$860</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$860</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$--	
<b>TOTAL NATIONAL FEE =</b>				<b>\$860</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property    +				\$--	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$860</b>	
				Amount to be:	\$
				refunded	
				charged	\$

a. ☒ A check in the amount of \$860 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

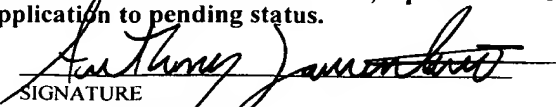
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit  
 any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**Anthony A. Laurentano, Esq.**  
**LAHIVE & COCKFIELD, LLP**  
 28 State Street  
 Boston, Massachusetts 02109  
 United States of America  
 (617)227-7400

February 2001

  
 SIGNATURE  
Anthony A. Laurentano  
 NAME  
38,220  
 REGISTRATION NUMBER

09/763484

JC02 Rec'd PCT/PTO 21 FEB 2001

**IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)**  
**(National Phase of International App.: PCT/DE99/02613)**

In re the  
application of: **Helmut BUSSHOFF**

International Application No.: **PCT/DE99/02613**

International Filing Date: **17 August 1999**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **HOLDING DEVICE FOR FLEXOGRAPHIC  
PRINTING SLEEVES**

Attorney Docket No.: **HHI-026US**

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Application as filed Translated (11 sheets); Information Disclosure Statement (3 sheets) with Form PTO-1449 (1 sheet); Preliminary Amendment (4 sheets); Declaration, Petition and Power of Attorney (unexecuted) (3 sheets); PCT International Published Application in German (WO 00/10809) (with International Search Report attached) (22 sheets); PCT Written Opinion (Form PCT/IPEA/408) (6 sheets); Check (\$860) based on large entity; Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL 683 637 032 US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Date: 21 February 2001

Name: Ilidio P. Cardoso

Signature: 